

Part D – Disclosure

As mandated by SEBI circular SEBI/IMD/CIR No. 4/168230/09 we're giving below the broad range of commission earned by UPMARKET FINANCIAL SERVICES LLP (ARN-131903) from various mutual funds for distribution of their schemes:

The range below is for the 5 broad categories of mutual funds defined by SEBI. There are sub-categories within each broad category and thus the total number of schemes in each broad category is quite large. Specific details can be provided as often as you may ask for it.

We're fully aware that while commissions are paid by fund houses, they are paid because people invest their savings through us. Thus, our clients are not the fund houses but the investors we service.

Scheme Type	Broad Description	Trail Commission
Equity Oriented Funds	This includes all actively* managed schemes in various categories like Multicap/ Flexicap/ Thematic/ Large Cap/ Value /Contra/ ELSS/ Dividend Yield/ Focused etc.	0.63 % p.a. for <u>ICICI Prudential Bluechip Fund</u> to 1.60% p.a. for <u>Bandhan Financial Services Fund</u>
Hybrid Funds	Active schemes* which have a mix of equities and other asset classes like debt and possibly gold	0.30% for <u>Parag Parikh Dynamic Asset Allocation Fund</u> p.a. to 1.60 % p.a for <u>Bandhan Hybrid Equity Fund.</u>
Debt Funds	This includes all schemes that primarily invest in debt securities.	0.04% p.a. for <u>Franklin Overnight Fund</u> to 1.00 % p.a. for <u>Bandhan Dynamic Bond Fund</u>
Solution Oriented Funds	Schemes that provide a particular targeted solution like retirement or children' education.	1.00% p.a. for <u>HDFC Children's Gift Fund</u> to 1.6 % p.a. for <u>Bandhan Retirement Fund.</u>
Others	Index Funds – Passive funds# that invest as per the composition of the index they track Fund of Funds – Invest in other mutual fund schemes, domestic or overseas ETFs – Exchange Traded Funds that primarily trade on a stock exchange	0.15% p.a. for <u>HDFC Index Fund – NIFTY 50 Plan</u> to 1.10% p.a. for <u>ICICI Prudential Thematic Advantage Fund (FoF)</u>

* In Active schemes Fund Managers have the choice and freedom to select the stock they wish to invest in, the amount of exposure they wish to take in each stock within the overall broad scheme's mandate

In Passive schemes Fund Managers simply invest in all the stocks in the same percentages as in the underlying index

- All commission is paid in trail i.e., for the duration an investment stays with the respective fund house through us
- All commission rates are inclusive of GST. Thus, our net revenue is 1/1.18 times the commission rate
- Different schemes may or may not pay an additional commission for investors located in cities/towns beyond the Top-30 in India for amount of total mutual fund investments. This is payable for investment amounts up to Rs 2L/transaction.
- Above data is valid on the date of preparation of this document June 1, 2024. Fund Houses could change the brokerage structure every quarter. Though it is expected that any change will not be drastic
- Investors have the choice to not invest through us but instead invest directly with a fund house by choosing 'DIRECT' plan which is offered by all schemes. Investing in DIRECT plan will increase your return by the difference in expenses that is charged to a regular plan vs. a direct plan.

Following is what we earn on the schemes short-listed by us in various categories and mostly suggested across clients in varying proportion

Scheme Type	Scheme Name	Trail Commission
Equity Oriented Funds	<ul style="list-style-type: none"> • Parag Parikh Flexicap Fund • Helios Flexicap Fund • Old Bridge Focused Equity Fund 	0.75% p.a. 1.20% p.a. 1.05% p.a.
Hybrid Fund	<ul style="list-style-type: none"> • Helios Balanced Advantage Fund • Parag Parikh Dynamic Asset Allocation Fund 	1.50% p.a. 0.30% p.a.
Debt Funds	<ul style="list-style-type: none"> • Bandhan Ultra Short-Term Fund • ICICI Money Market Fund 	0.15% p.a. 0.05% p.a.