

As per SEBI circular SEBI/IMD/CIR No. 4/168230/09 following is a broad range of commission earned by UPMARKET FINANCIAL SERVICES LLP (ARN-131903) from various mutual funds for distribution of their schemes

Scheme Type	Broad Description	Trail Commission
Equity. This includes all actively managed schemes in various categories like Multicap/Flexicap/Thematic/Large Cap/Value Contra/ELSS/Dividend Yield/Focussed etc.	Active schemes* primarily investing in common stocks/equities	0.80 % p.a. for <u>SBI Bluechip Fund</u> to 1.35% p.a. for <u>HDFC Focussed 30 fund</u>
Hybrid/Balanced Funds including Conservative Hybrid/Balanced Hybrid/Aggressive Hybrid/Dynamic Asset Allocation etc.	Active schemes* which have a mix of equities and other asset classes like debt and possibly gold	0.30% for <u>Parag Parikh Conservative Hybrid Fund</u> p.a. to 1.40 % p.a for <u>Franklin India Debt Hybrid Fund.</u>
Index Funds	Passive schemes# investing in a benchmark index	0.10% p.a. for <u>ICICI Sensex Index Fund</u> to 0.50 % p.a. for <u>UTI Nifty Next Index Mutual Fund</u>
International Funds	Schemes that invest in overseas stocks, mostly active. Most schemes are feeder funds that invest in overseas schemes	0.50% p.a. for <u>DSP Global Allocation Fund</u> to 1.10 % p.a. for <u>Franklin US Opportunities fund.</u>
Debt Funds <ul style="list-style-type: none"> Overnight Funds Liquid Funds 	Open-ended debt Mutual Funds schemes that park money in very short-term debt securities (upto 91 days maturity)	0.02% p.a. for <u>Axis Overnight Fund</u> to 0.10% p.a. for <u>Parag Parikh Liquid Fund</u>
<ul style="list-style-type: none"> Ultra-Short Duration Funds Money Market Fund 	Open-ended debt mutual funds schemes that invest in securities with maturity of up to 1 year	0.05% p.a for <u>UTI Money Market Fund</u> to 0.75% p.a for <u>UTI Floater Fund</u>
<ul style="list-style-type: none"> Low Duration Funds Short-Duration Fund Corporate Bond Fund Banking & PSU Fund 	Open-ended debt funds that for durations ranging from 6 months to generally 3 years	0.05% p.a. for <u>UTI Baking and PSU debt fund</u> to 0.65% p.a for <u>ICICI short term fund</u>
<ul style="list-style-type: none"> Medium Duration Fund Medium to Long Duration Fund Credit Risk Fund 	Schemes that invest in debt securities with maturity ranging from 3yrs, 3-4 yrs and in bonds which are rated AA and lower	0.40 % p.a. for <u>ICICI Pru Bond Fund</u> to 0.90 % p.a. for <u>UTI Medium Term Fund</u>
<ul style="list-style-type: none"> Gilt Fund Gilt Fund with 10-year constant duration Long Duration Fund Dynamic Bond Fund 	Schemes that invest ONLY in government securities (Gilts) or have maturity > 7 yrs (long-duration) OR across any duration (Dynamic Bond)	0.25% p.a. for <u>AXIS Gilt Fund</u> to 0.80% p.a. for <u>HDFC Dynamic Debt Fund</u>
<ul style="list-style-type: none"> Fixed Maturity Plans 	Close-ended schemes which eliminate interest rate risk by	Varies for each scheme. Generally in

	investing in securities in line with scheme maturity	the 0.1% p.a. - 0.2% p.a. range
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* In Active schemes Fund Managers have the choice and freedom to select the stock they wish to invest in, the amount of exposure they wish to take in each stock within the overall broad scheme's mandate

In Passive schemes Fund Managers simply invest in all the stocks in the same percentages as in the underlying index

- *All commission is paid in trail i.e., for the duration an investment stays with the respective fund house through us*
- *Different schemes may or may not pay an additional commission for investors located in cities/towns beyond the Top-30 in India for amount of total mutual fund investments. This is payable for investment amounts up to Rs 2L*
- *Above data is valid on the date of preparation of this document Aug 31, 2021. Fund Houses could change the brokerage structure every quarter. Though it is expected that any change will not be drastic*
- *Investors have the choice to not invest through us but instead invest directly with a fund house by choosing 'DIRECT' plan which is offered by all schemes. Investing in DIRECT plan will increase your return by the difference in expenses that is charged to a regular plan vs. a direct plan.*